

## UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

Hamay Dacket No.	3802-088-27	CONT
ttorney Docket No.	3002-000-27	CON

First Inventor or Application Identifier

DANIEL R. HENDERSON

Title TISSUE SPECIFIC ADENOVIRAL VECTORS

	See	APPLICATION ELEMENTS MPEP chapter 600 concerning utility patent application contents	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application PO Box 1450 Alexandria, VA 22313-1450								
1.	0	Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)			ACCOMPANYING DOCUMENTS						
		Applicant claims small entity status.	6.		Assignment Papers (cover sheet & document(s))						
2.		Specification Total Pages 127	7.		37 C.F.R. §3.73(b) Statement □ Power of Attorney (when there is an assignee)						
			8.		English Translation Document (if applicable)						
3.		Drawing(s) (35 U.S.C. 113) Total Sheets 44	9.		Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations						
			10.		Preliminary Amendment						
4.		Oath or Declaration Total Pages	11.		White Advance Serial No. Postcard						
		Newly executed (original or copy)  Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)	12.	_	Certified Copy of Priority Document(s) (if foreign priority is claimed)						
		(tor continuation/alvisional with box 16 completed)	13.		Request for Priority						
5.		Incorporation By Reference (usable if box 4B is checked)	14.		List of Inventors' Names and Addresses						
		Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	15.	0	Other:						
16.	If a	CONTINUING APPLICATION, check appropriate box, an	id sup	ply	the requisite information below:						
		Continuation   Divisional   Continuation-	in-par	t (C	IP) of prior application no.: 09/732,169						
• 1	Prior	application information: Examiner: Brian A. Whitema	n		Group Art Unit: 1635						
17.	\me	nd the specification by inserting before the first line the se	entend	e:							
W.	This	application is a ■ Continuation □ Division		ont	inuation-in-part (CIP)						
·	of ap oubli	plication Serial No.  09/732,169, filed December 6, 2000, shed June 6, 2002, which is a Continuation of U.S. Pater	, now it App	U.S lica	. Patent Application Publication No. 2002/0068049 A1, tion No. 09/151,376, filed September 10, 1998, now						
(	J.S. )8/6(	plication Serial No. 09/732,169, filed December 6, 2000, shed June 6, 2002, which is a Continuation of U.S. Pater Patent No. 6,676,935, issued January 13, 2004, which is 69,753, filed June 26, 1996, now U.S. Patent No. 5,871,7 S. Patent Application No. 08/495,034, filed June 27, 1995	a Coi 26, is:	ntin sue	uation-In-Part of U.S. Patent Application No. d February 16, 1999, which is a Continuation-In-Part						
(	of U.	S. Patent Application No. 08/495,034, filed June 27, 1995	5, now	U.:	S. Patent No. 5,698,443, issued December 16, 1997.						
0.	This	application claims priority of provisional application Seria	l No.		Filed						
	18. CORRESPONDENCE ADDRESS										
	Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085										
A) I share	-	Company of the state of the sta									

Name	Steven B. Kelber		Registration No.	30,073		
Signature	Stell	Date	4/13/04	Telephone	202-861-3900	
Name	Sue Jensen, M.D.		Registration No.	55,775		



							Ď	ocket No		38	3802-088-27 CONT						
							Se	erial No.		Ne	New Application						
FEE TRANSMITTAL							Fil	ling Date		He	Herewith						
<u> </u>							In	ventor(s)	)	Da	Daniel R. HENDERSON, et al.						
G								Gı	oup Art Unit								
TOTAL AMOUNT OF PAYMENT \$450.00 E									xaminer								
Applicant claims small entity status.								FEE CALCULATION (continued)									
Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.							3. ADDITIONAL FEES										
□ Charge the indicated fees to Deposit Account No. 50-1442.									Large Entity S			Entity					
2.  ☐ Check enclosed.									1	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid		
				FEE C	ALC	ULATIO	NC					1051	130	2051	65	Surcharge-late filing fee or oath	\$65
1. BASIC FILING FEE									1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet				
Large	Entity	Sma	II Enti	Fee Description								1053	130	1053	130	Non-English Specification	
Fee Code	Fee (\$)	Fee Code		Fee (\$)	Fee Paid							1251	110	2251	55	1-mo. ext. of time	
1001	770	2001		385	Utility filing fee					\$38	5	1252	420	2252	210	2-mo. ext. of time	
1002	340	2002		170	Design filing fee							1253	950	2253	475	3-mo. ext. of time	
1003	520	2003		260	Plant filing fee				$\perp$			1254	1480	2254	740	4-mo. ext. of time	
1004	770	2004		385	Re	issue filin	g fee					1255	2010	2255	1005	5-mo. ext. of time	
1005	160	2005		80	Pro	ovisional f	iling	fee	$\perp$			1401	330	2401	165	Notice of Appeal	
						SUB	TO	ΓAL (1)		\$385.00	۱ ۰	1402	330	2402	165	Appeal Brief	
Ź. E	XTRA	CLAIM F	EES	3	•							1403	290	2403	145	Request for Oral Hearing	
tot. cla	aims	6	-	20*	=	0	x	\$9	=		0	1501	1330	2501	665	Utility/Reissue Issue Fee	
ind. cl	aims	2	-	3*	=	0	x	\$43	=		0	1502	480	2502	240	Design Issue Fee	
0	Multip	ole Deper	iden	t Clain	าร			\$145	=		$\Box$	1504	300	1504	300	Publication Fee	
Large	Entity	Smat	l Enti	ty	Fee	Descripti	ion					8001	3	8001	3	Advance Copy of Patent	
Fee Code	Fee (\$)	Fee Code		Fee (\$)								1460	130	1460	130	Petitions to the Commissioner	
1202	18	2202		9	Clai	ms in exc	ess	of 20				1806	180	1806	180	IDS Submission	
1201	86	2201		43	Inde	ependent	claim	ns in excess	of 3			8021	40	8021	40	Assignment recordation	
1203	290	2203		145	Mul	tiple depe	nder	nt claim, if n	ot pai	d	$ \_                                   $	1801	770	2801	385	For Filing RCE	
1204 84 2204 43 *Reissue independent claims over original patent								1814	110	2814	55	Terminal Disclaimer					
1205 18 2205 9 *Reissue claims in excess of 20 and over original patent							OTHER (i	ndicate belo	w):								
						SUE	зто	TAL (2)		\$0.0	0						
or num	ber prev	iously paid, i	f grea	ter; For I	Reissı	ues, see a	bove	:			$\perp$					SUBTOTAL (3)	\$65.00
_																	

Name	Steven B. Kelber		R	egistrat	ion No.	30,073		
Signature	98-1-	Date	4/	13/	04	Telephone	202-861-3900	
Name	Sue Jensen, M.D.		R	egistrat	tion No.	55,775		

DOCKET NO. 3802-088-27 CONT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Daniel R. HENDERSON, et al. ART UNIT:

SERIAL NO.: New Application EXAMINER:

FILING DATE: Herewith

FOR: TISSUE SPECIFIC ADENOVIRAL VECTORS

## LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

- 1) Daniel R. Henderson Palo Alto, California
- 2) Eric R. Schuur Palo Alto, California

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP

Registration No. 30,073

Attorney of Record

Steven B. Kelber

1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085